(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 895

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO.
(if known, sec 37 C.F.R.1.5)

09/509669

INTERNATIONAL APPLICATION NO. PCT/JP99/03927	INTERNATIONAL FILING DATE July 22, 1999	PRIORITY DATE CLAIMED August 5, 1998

TITLE OF INVENTION
OPTICAL MODULE

APPLICANT(S) FOR DO/EO/US
Akihiro MURATA and Shojiro KITAMURA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☒ has been transmitted by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)

6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).

7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☐ have not been made and will not be made.

8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒ A FIRST preliminary amendment.

   ☐ A SECOND or SUBSEQUENT preliminary amendment.

14. ☐ A substitute specification.

15. ☐ A small entity statement.

16. ☐ Other items or information:

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17. ☐ The following fees are submitted:

Basic National fee (37 CFR 1.492(a)(1)-(5)):

	CALCULATIONS	PTO USE ONLY

Search Report has been prepared by the EPO or JPO.....$840.00

International preliminary examination fee paid to USPTO (37 CFR1.482)...$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))...$690.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO...$970.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..$ 96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =	$840	
Surcharge of $130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	$	

Claims	Number Filed	Number Extra	Rate		
Total Claims	18 - 20 =	0	X $ 18.00	$	
Independent Claims	4 - 3 =	1	X $ 78.00	$78	
Multiple dependent claim(s)(if applicable)			+ $260.00	$	
TOTAL OF ABOVE CALCULATIONS =				$78	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). -				$	
SUBTOTAL =				$918	
Processing fee of $130.00 for furnishing the English translation later than ☐ 20 ☐ 30 month from the earliest claimed priority date (37 CFR 1.492(f)). +				$	
TOTAL NATIONAL FEE =				$918	
			Amount to be refunded	$	
			Charged	$	

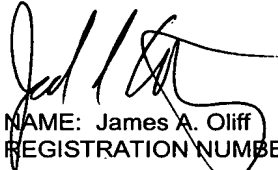a. ☒ Check No. 107519 in the amount of $918 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of $_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
. OLIFF & BERRIDGE, PLC
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(1390 Rev.8-93)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Akihiro MURATA and Shojiro KITAMURA

Application No.: U.S. National Stage of
PCT/JP99/03927

Filed: March 31, 2000   Docket No.:   105895

For: OPTICAL MODULE

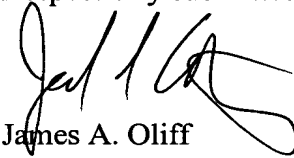### REQUEST FOR APPROVAL OF DRAWING CORRECTION

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

The Examiner is requested to review and approve the proposed correction to Figure 3,

marked in red on the attached copy of such drawing figure.

Upon approval by the Examiner, and upon allowance of this application, the formal

drawings will be corrected.

Respectfully submitted,

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